


Municipality of São Paulo

**São Paulo Aricanduva Bus Rapid Transit Corridor
(P169140)**

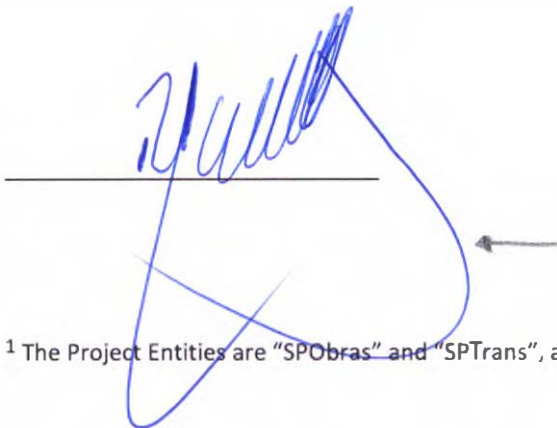
**Updated
ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

February 15, 2023



ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Municipality of São Paulo (the Borrower) will implement the São Paulo Aricanduva Bus Rapid Transit Corridor Project – P169140 (the Project), with the involvement of the Municipal Secretariat of Urban Infrastructure and Works (SIURB), the Secretariat of Mobility and Transport (SMT), and the Project Entities,¹ as set out in the Loan Agreement. The International Bank for Reconstruction and Development (the Bank) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, grievance management, and the environmental and social (E&S) instruments to be prepared or updated, consulted, adopted, disclosed, and implemented in accordance with the ESSs, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Municipal Secretary of SIURB. The Borrower shall promptly disclose the updated ESCP.



¹ The Project Entities are "SPObras" and "SPTrans", as defined in the Loan Agreement.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank, as part of the six-monthly progress reports on the Project implementation, regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP occurrence of incidents and accidents, stakeholder engagement activities, and functioning of the grievance mechanism.</p>	<p>Submit reports semestral reports throughout Project implementation together with the Project Reports.</p>	<ul style="list-style-type: none"> • SIURB • SMT
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by the Project Entities, and any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Bank's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Bank within a timeframe acceptable to the Bank</p>	<ul style="list-style-type: none"> • SIURB • SMT
C	<p>CONTRACTORS' MONTHLY REPORTS</p> <p>Ensure that all bidding documents and contracts for construction works under the Project require monthly reports on ESHS aspects in accordance with the metrics specified in the respective bidding documents and contracts.</p>	<p>Require submission monthly ESHS reports in the respective bidding documents and contracts. Submit the monthly reports to the Bank upon request.</p>	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a PMU with qualified staff and resources to support management of E&S risks of the Project. This shall include hiring an E&S consultancy firm for the supervision of Project civil works.</p>	<p>The establishment of the PMU is an additional condition of effectiveness under the Loan Agreement. The E&S consultancy firm shall be hired prior to the start of any Project works.</p>	<ul style="list-style-type: none"> • SIURB
1.2	<p>CONSULTATIONS ON THE ENVIRONMENTAL AND SOCIAL ASSESSMENT AND ENVIRONMENTAL AND SOCIAL MANAGEMENT PLANS</p>	<p>Prior to Appraisal</p>	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Carry out consultations of the draft Environmental and Social Impact Assessment (ESIA) and draft Environmental and Social Management Plan (ESMP BRT Corridor) for the Aricanduva BRT Corridor, and the draft ESMP for the SMT Operation Control Center (ESMP SMT OCC); jointly the ESMPs.		
1.3	ENVIRONMENTAL AND SOCIAL MANAGEMENT PLANS Finalize, publicly disclose, and thereafter implement the ESMP BRT Corridor and the ESMP SMT OCC.	Finalize and disclose the ESMPs prior launching the bidding processes for the constructions works under Component 1 (Aricanduva BRT Corridor) and Component 2 (Upgrading of SMT OCC), respectively. Thereafter implement the respective ESMPs throughout the carrying out of the respective works.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
1.4	MANAGEMENT OF CONTRACTORS Incorporate all relevant aspects of this ESCP, including, inter alia, the ESMPs (including their respective programs and subprograms), Labor Management Procedures (LMP), and any other relevant E&S measures into the technical ESHS specifications of all bidding processes and contracts with contractors and supervision firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.	As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES PREPARATION Consult the draft Labor Management Procedures (LMP).	Prior to Appraisal.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
2.2	LABOR MANAGEMENT PROCEDURES Finalize, disclose, and implement the Labor Management Procedures in accordance with all the requirements of ESS 2 - Labor and Working Conditions and the Brazilian labor legislation.	Finalize and disclose the LMP prior to start the bidding processes of constructions works under Component 1 (Aricanduva BRT Corridor) and Component 2 (Upgrading of SMT OCC). Thereafter implement the LMP throughout Project implementation.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
2.3	GRIEVANCE MECHANISM FOR PROJECT WORKERS Develop and implement Grievance Mechanisms as required ESS 2 - Labor and Working Conditions and the Brazilian labor legislation, as further detailed under the LMP.	Prior to start the bidding processes of constructions works under Component 1 (Aricanduva BRT Corridor) and Component 2 (Upgrading of SMT OCC). Thereafter implement the LMP throughout Project implementation.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.4	OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES Develop and use standard Labor Management Procedures that comply with all OHS requirements considered under ESS 2 - Labor and Working Conditions and the Brazilian labor legislation.	Finalize and disclose the LMP prior to start the bidding processes of constructions works under Component 1 (Aricanduva BRT Corridor) and Component 2 (Upgrading of SMT OCC). Thereafter implement the throughout Project implementation.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	PARTICULAR MATTER AND SINANTHROPIC FAUNA Implement, monitor and report on the Particulate Material Monitoring Subprogram and the Synanthropic Fauna Dispersion and Proliferation Control Subprogram, which are part of the ESMP BRT Corridor	Throughout the construction works. Reporting included as part of reports submitted under action A above.	<ul style="list-style-type: none"> • SIURB • Project Entities
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	ENVIRONMENTAL CONTROL AND QUALITY Implement, monitor and report on Program of Environmental Control of Works and Environmental Quality Program and their subprograms, which are part of the ESMPs	Throughout the construction works Reporting included as part of reports submitted under action A above.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
4.2	E&S PROGRAMS AND SUBPROGRAMS Ensure that every bidding process for construction works includes the programs devised under the Projects' ESMPs (Social and Environmental Construction Works Monitoring Program and its subprograms).	Same timeframe as action 1.4 above.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
4.3	SEA AND SH RISKS Ensure that every bidding process for construction works includes requirements for SEA/SH risk management, prevention and response, in accordance with the ESMPs.	Same timeframe as action 1.4 above.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
4.4	ROAD TRAFFIC AND SAFETY – BASIC DESIGN Carry out a road safety audit of the basic project design for the Aricanduva BRT Corridor.	Prior to finalization of basic project design of the Aricanduva BRT Corridor	<ul style="list-style-type: none"> • SIURB • Project Entities
4.5	ROAD TRAFFIC AND SAFETY – EXECUTIVE DESIGN Carry out a road safety audit of the executive project design for the Aricanduva BRT Corridor.	Prior to finalization of executive project design of the Aricanduva BRT Corridor	<ul style="list-style-type: none"> • SIURB • Project Entities
4.6	ROAD TRAFFIC AND SAFETY – PRIOR TO OPERATION Carry out a road safety audit of works implemented for the Aricanduva BRT Corridor	Prior to the start of the operation of the Aricanduva BRT Corridor	<ul style="list-style-type: none"> • SIURB • Project Entities
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	RESETTLEMENT POLICY FRAMEWORK Consult, finalize, disclose and implement the Resettlement Policy Framework (RPF) prepared for the Project,	Prior to Appraisal. Thereafter implement the RPF.	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>5.2 RESETTLEMENT ACTION PLAN – ARICANDUVA BRT CORRIDOR Elaborate, disclose, consult, adopt and implement the Resettlement Action Plan for the Aricanduva BRT Corridor, as set out in the RPF, and consistent with ESS5.</p>	<p>The RAP shall be submitted for the Bank’s prior review, and once adopted, it shall be implemented prior to beginning the Aricanduva BRT Corridor construction works, including ensuring that before taking possession of the land and related assets, full compensation has been provided and, as applicable, displaced people have been resettled and moving allowances have been provided.</p>	<ul style="list-style-type: none"> • SIURB • Project Entities
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES		
<p>6.1 BIODIVERSITY MANAGEMENT Implement, monitor and report on The Vegetal Suppression Control Subprogram, the Afforestation and Landscaping Subprogram, the Birdlife Monitoring Subprogram, and the Synanthropic Fauna Monitoring Subprogram.</p>	<p>Throughout the construction works. Reporting included as part of reports submitted under action A above.</p>	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES		
Not relevant for the Project.		
ESS 8: CULTURAL HERITAGE		
<p>8.1 ARCHAEOLOGICAL PROGRAM Implement, monitor and report on Archaeological Prospecting and Monitoring Program, which are part of the ESMPs.</p>	<p>Throughout the construction works. Reporting included as part of reports submitted under action A above.</p>	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
ESS 9: FINANCIAL INTERMEDIARIES [This standard is only relevant for Projects involving Financial Intermediaries (FIs).]		
Not relevant for the Project.		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE		
<p>10.1 STAKEHOLDER ENGAGEMENT PLAN PREPARATION Consult the draft Stakeholder Engagement Plan</p>	<p>Prior to Appraisal.</p>	<ul style="list-style-type: none"> • SIURB • SMT
<p>10.2 STAKEHOLDER ENGAGEMENT PLAN IMPLEMENTATION Update, disclose the final version of the Project’s Stakeholder Engagement Plan and carry out all the envisaged activities for engaging and promoting participation of all stakeholders, consistent with ESS10.</p>	<p>Finalize and disclose the SEP prior to start the bidding processes of constructions works under Component 1 (Aricanduva BRT Corridor) and Component 2 (Upgrading of SMT OCC). Thereafter implement the SEP throughout Project implementation.</p>	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities
<p>10.3 PROJECT GRIEVANCE MECHANISM (GM) Publicly disseminate and operate the Project’s GM.</p>	<p>Operationalize and disseminate information on the Project’s GRM prior to the beginning of all Project</p>	<ul style="list-style-type: none"> • SIURB • SMT • Project Entities

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>activities. Maintain the GRM operational throughout Project implementation.</p>	